



# Erasmus+ Programme (ERASMUS)

# **Application Form**

Technical Description (Part B)

## (ERASMUS Standard Budget-based + LS Type II)

Version 1.0 25 February 2021

Disclaimer

This document is aimed at informing applicants for EU funding. It serves only as an example. The actual web forms and templates are provided in the Funding & Tenders Portal Submission System (and may contain certain differences). The applications (including annexes and supporting documents) must be prepared and submitted online via the Portal.

#### **IMPORTANT NOTICE**

#### What is the Application Form?

The Application Form is the template for EU grants applications; it must be submitted via the EU Funding & Tenders Portal before the call deadline.

The Form consists of 2 parts:.

- Part A contains structured administrative information
- Part B is a narrative technical description of the project.

Part A is generated by the IT system. It is based on the information which you enter into the Portal Submission System screens.

Part B needs to be uploaded as PDF (+ annexes) in the Submission System. The templates to use are available there.

#### How to prepare and submit it?

The Application Form must be prepared by the consortium and submitted by a representative. Once submitted, you will receive a confirmation.

Character and page limits:

- page limit normally 40 pages for calls for low value grants (60 000 or below); 120 pages for all other calls (unless otherwise provided for in the Call document/Programme Guide)
- supporting documents can be provided as an annex and do not count towards the page limit
- minimum font size Arial 9 points
- page size: A4
- margins (top, bottom, left and right): at least 15 mm (not including headers & footers).

Please abide by the formatting rules. They are NOT a target! Keep your text as concise as possible. Do not use hyperlinks to show information that is an essential part of your application.

1 If you attempt to upload an application that exceeds the specified limit, you will receive an automatic warning asking you to shorten and re-upload your application. For applications that are not shortened, the excess pages will be made invisible and thus disregarded by the evaluators.

Please do NOT delete any instructions in the document. The overall page limit has been raised to ensure equal treatment of all applicants.

## **TECHNICAL DESCRIPTION (PART B)**

## **COVER PAGE**

Part B of the Application Form must be downloaded from the Portal Submission System, completed and then assembled and re-uploaded as PDF in the system.

**Note:** Please read carefully the conditions set out in the Call documentProgramme Guide (for open calls: published on the Portal). Pay particular attention to the award criteria; they explain how the application will be evaluated.

PROJECT	
Project name:	[project title]
Project acronym:	[acronym]
Coordinator contact:	[name NAME], [organisation name]

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## **PROJECT SUMMARY**

**Project summary** (in English)

See Abstract (Application Form Part A).

## **1. RELEVANCE**

## 1.1 Background and general objectives

#### **Background and general objectives**

Please address all guiding points presented in the Call document/Programme Guide under the award criterion (Relevance).

Describe the background and rationale of the project.

How is the project relevant to the scope of the call? How does the project address the general objectives of the call? What is the project's contribution to the priorities of the call (if applicable)?

Insert text

## 1.2 Needs analysis and specific objectives

#### Needs analysis and specific objectives

Please address the specific conditions/objectives set out in the Call document/ Programme Guide, if applicable.

Describe how the objectives of the project are based on a sound needs analysis in line with the specific objectives of the call.

What issue/challenge/gap does the project aim to address? The objectives should be clear, measureable, realistic and achievable within the duration of the project. For each objective, define appropriate indicators for measuring achievement (including a unit of measurement, baseline value and target value).

Insert text

#### 1.3 Complementarity with other actions and innovation — European added value

#### Complementarity with other actions and innovation

Explain how the project builds on the results of past activities carried out in the field, and describe its innovative aspects (if any).

Explain how the activities are complementary to other activities carried out by other organisations (if applicable). Illustrate the trans-national dimension of the project; its impact/interest in the EU area; possibility to use the results in other countries, potential to develop /cross-border cooperation among Programme countries and Partner countries, if applicable, etc.

If your proposal is based on the results of one or more previous or ongoing projects, please provide precise references to these projects.

Insert text

## 2. QUALITY

## 2.1 PROJECT DESIGN AND IMPLEMENTATION

#### 2.1.1 Concept and methodology

#### Concept and methodology

Please address all guiding points presented in the Call document/Programme Guide under the award criterion 'Quality of the project design and implementation'.

Outline the approach and methodology behind the project. Explain why they are the most suitable for achieving the project's objectives.

Insert text

#### 2.1.2 Project management, quality assurance and monitoring and evaluation strategy

#### Project management, quality assurance and monitoring and evaluation strategy

Describe the measures foreseen to ensure that the project implementation is of high quality and completed in time. Describe the methods to ensure good quality, monitoring, planning and control.

Describe the evaluation methods and indicators (quantitative and qualitative) to monitor and verify the outreach and coverage of the activities and results (including unit of measurement, baseline and target values). The indicators proposed to measure progress should be relevant, realistic and measurable.

Insert text

#### 2.1.3 Project teams, staff and experts

#### Project teams and staff

Describe the project teams and how they will work together to implement the project.

List the staff included in the project budget (budget category A) by function/profile (e.g. project manager, senior expert/advisor/researcher, junior expert/advisor/researcher, trainers/teachers, technical personnel, administrative personnel etc. and describe shortly their tasks. If required by the call, provide CVs of all key actors. If required by the Call document/Programme Guide.

Name and function	Organisation	Role/tasks	Professional profile and expertise

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#### Outside resources (subcontracting, seconded staff, etc)

If you do not have all skills/resources in-house, describe how you intend to get them (contributions of members, partner organisations, subcontracting, etc).

If there is subcontracting, please also complete the table in section 4.

Insert text

#### 2.1.4 Cost effectiveness and financial management

#### **Cost effectiveness and financial management** (*n/a for prefixed Lump Sum Grants*)

Describe the measures adopted to ensure that the proposed results and objectives will be achieved in the most costeffective way.

Indicate the arrangements adopted for the financial management of the project and, in particular, how the financial resources will be allocated and managed within the consortium.

L Do NOT compare and justify the costs of each work package, but summarize briefly why your budget is cost effective.

Insert text

#### 2.1.5 Risk management

#### Critical risks and risk management strategy

Describe critical risks, uncertainties or difficulties related to the implementation of your project, and your measures/strategy for addressing them.

Indicate for each risk (in the description) the impact and the likelihood that the risk will materialise (high, medium, low), even after taking account the mitigating measures.

**Note:** Uncertainties and unexpected events occur in all organisations, even if very well-run. The risk analysis will help you to predict issues that could delay or hinder project activities. A good risk management strategy is essential for good project management.

Risk No	Description	Work package No	Proposed risk-mitigation measures

## 2.2 PARTNERSHIP AND COOPERATION ARRANGEMENTS

2.2.1 Consortium set-up

#### Consortium cooperation and division of roles (if applicable)

Please address all guiding points presented in the Call document/Programme Guide under the award criterion 'Quality of the partnership and the cooperation arrangements'.

Describe the participants (Beneficiaries, Affiliated Entities, Associated Partners and others, if any) and explain how they will work together to implement the project. How will they bring together the necessary expertise? How will they complement each other?

In what way does each of the participants contribute to the project? Show that each has a valid role and adequate resources to fulfil that role.

Insert text

## 2.2.2 Consortium management and decision-making

#### Consortium management and decision-making (if applicable)

Explain the management structures and decision-making mechanisms within the consortium. Describe how decisions will be taken and how regular and effective communication will be ensured. Describe methods to ensure planning and control.

**Note:** The concept (including organisational structure and decision-making mechanisms) must be adapted to the complexity and scale of the project.

Insert text

#### 3. IMPACT

#### 3.1 Impact and ambition

#### Impact and ambition

Please address each guiding points presented in the Call document/Programme Guide under the award criterion '<u>Impact</u>'.

Define the expected short, medium and long-term effects of the project. Who are the target groups? How will the target groups benefit concretely from the project and what would change for them?

Insert text

#### 3.2 Communication, dissemination and visibility

#### Communication, dissemination and visibility of funding

Describe the communication and dissemination activities which are planned in order to promote the activities/results and maximise the impact (to whom, which format, how many, etc.). Clarify how you will reach the target groups, relevant stakeholders, policymakers and the general public and explain the choice of the dissemination channels. Describe how the visibility of EU funding will be ensured.

Insert text

#### 3.3 Sustainability and continuation

#### Sustainability, long-term impact and continuation

Describe the follow-up of the project after the EU funding ends. How will the project impact be ensured and sustained?

What will need to be done? Which parts of the project should be continued or maintained? How will this be achieved? Which resources will be necessary to continue the project? How will the results be used? Are there any possible synergies/complementarities with other (EU funded) activities that can build on the project

results?

Insert text

## 4. WORK PLAN, WORK PACKAGES, TIMING AND SUBCONTRACTING

#### 4.1 Work plan

Work plan

Provide a brief description of the overall structure of the work plan (list of work packages or graphical presentation (Pert chart or similar)).

Insert text

#### 4.2 Work packages and activities

#### **WORK PACKAGES**

This section concerns a detailed description of the project activities.

Group your activities into work packages. A work package means a major sub-division of the project. For each work package, enter an objective (expected outcome) and list the activities, milestones and deliverables that belong to it. The grouping should be logical and guided by identifiable deliverables/outputs.

Projects should normally have a minimum of 2 work packages. WP1 should cover the management and coordination activities (meetings, coordination, project monitoring and evaluation, financial management, progress reports, etc.) and all the activities which are cross-cutting and therefore difficult to assign to another specific work package (do not try splitting these activities across different work packages). WP2 and further WPs should be used for the other project activities. You can create as many work packages as needed by copying WP1. The last WP should be dedicated to Impact and dissemination

Please refer to the Call document/Programme Guide for specific requirements concerning the number and the typology of work packages.

Work packages covering financial support to third parties ( does not allowed if authorised in the Call document/Programme Guide) must describe the conditions for implementing the support (for grants: max amounts per third party; criteria for calculating the exact amounts, types of activity that qualify (closed list), persons/categories of persons to be supported and criteria and procedures for giving support; for prizes: eligibility and award criteria, amount of the prize and payment arrangements).

Letter each activity/milestone/output/outcome/deliverable only once (under one work package).

#### Work Package 1

Duration:	MX - I	MX - MX Lead Beneficiary: 1-Short name										
Objectives												
List the specific o	objectives to which this	work package is	s linked.									
•												
Activities (what	at, how, where) and	d division of v	vork									
- lovide a concis												
Add information of <b>Note:</b> In-kind contributi The coordinator complete the tab	on other participants' ii ions: In-kind contributic remains fully responsil	nvolvement in th ons for free are c ble for the coord	DO), and if applicable Beneficiaries (BEN), Affiliated En e project e.g. subcontractors, in-kind contributions. ost-neutral, i.e. cannot be declared as cost. Please ind ination tasks, even if they are delegated to someone e Description	cate the in-kind contributions th	at are provided in th e subcontracted. If th	ne context of this work package. There is subcontracting, please als In-kind Contributions and						
Show who is par Add information of <b>Note:</b> In-kind contributi The coordinator complete the tab Task No (continuous	on other participants' ii ions: In-kind contributic remains fully responsil ile below.	nvolvement in th ons for free are c ble for the coord	e project e.g. subcontractors, in-kind contributions. ost-neutral, i.e. cannot be declared as cost. Please ind nation tasks, even if they are delegated to someone e	cate the in-kind contributions the contributions the coordinator tasks cannot be	at are provided in th e subcontracted. If th	ne context of this work package. There is subcontracting, please als						
Show who is part Add information of <b>Note:</b> In-kind contributi The coordinator complete the tab Task No (continuous numbering linked to	on other participants' ii ions: In-kind contributic remains fully responsil ile below.	nvolvement in th ons for free are c ble for the coord	e project e.g. subcontractors, in-kind contributions. ost-neutral, i.e. cannot be declared as cost. Please ind nation tasks, even if they are delegated to someone e	cate the in-kind contributions the se. Coordinator tasks cannot be Particip	eat are provided in the subcontracted. If the subcontracted of the subco	e context of this work package. here is subcontracting, please als In-kind Contributions and Subcontracting						
Show who is part Add information of <b>Note:</b> In-kind contributi The coordinator i complete the tab Task No (continuous numbering linked to WP)	on other participants' ii ions: In-kind contributic remains fully responsil ile below.	nvolvement in th ons for free are c ble for the coord	e project e.g. subcontractors, in-kind contributions. ost-neutral, i.e. cannot be declared as cost. Please ind nation tasks, even if they are delegated to someone e	cate the in-kind contributions the se. Coordinator tasks cannot be Particip	eat are provided in the subcontracted. If the subcontracted of the subco	e context of this work package. here is subcontracting, please als In-kind Contributions and Subcontracting						

Deliverables are project outputs which are submitted to show project progress (any format). Refer only to major outputs. Do not include minor sub-items, internal working papers, meeting minutes, etc.

It is recommended to limit the number of deliverables to max 10-15 for the entire project. You may be asked to further reduce the number during grant preparation.

For deliverables such as meetings, events, seminars, trainings, workshops, webinars, conferences, etc., enter each deliverable separately and provide the following in the 'Description' field: invitation, agenda, signed presence list, target group, number of estimated participants, duration of the event, report of the event, training material package, presentations, evaluation report, feedback questionnaire.

For deliverables such as manuals, toolkits, guides, reports, leaflets, brochures, training materials etc., add in the 'Description' field: format (electronic or printed), language(s), approximate number of pages and estimated number of copies of publications (if any).

For each deliverable you will have to indicate a due month by when you commit to upload it in the Portal. The due month of the deliverable cannot be outside the duration of the work package and must be in line with the timeline provided below. Month 1 marks the start of the project and all deadlines should be related to this starting date.

The labels used mean:

Public — fully open ( automatically posted online on the Project Results platforms)

Sensitive — limited under the conditions of the Grant Agreement

EU classified — RESTREINT-UE/EU-RESTRICTED, CONFIDENTIEL-UE/EU-CONFIDENTIAL, SECRET-UE/EU-SECRET under Decision 2015/444.

Milestone No (continuous numbering not linked to WP)	Milestone Name	Work Package No	Lead Beneficiary	Description		Due Date (month number)	Means of Verification
MS1		1					
MS2		1					
Deliverable No (continuous numbering linked to WP)	Deliverable Name	Work Package No	Lead Beneficiary	Type Dissemination Level		Due Date (month number)	Description (including format and language)
D1.1		1		[R — Document, report] [DEM — Demonstrator, pilot, prototype] [DEC — Websites, patent filings, videos, etc] [DATA — data sets, microdata, etc] [DMP — Data Management	[PU — Public] [SEN — Sensitive] [Classified R- UE/EU-R] [Classified C- UE/EU-C] [Classified S- UE/EU-S]		

		Plan] [ETHICS] [SECURITY] [OTHER]		
D1.2	1	[R — Document, report] [DEM — Demonstrator, pilot, prototype] [DEC — Websites, patent filings, videos, etc] [DATA — data sets, microdata, etc] [DMP — Data Management Plan] [ETHICS] [SECURITY] [OTHER]	[PU — Public] [SEN — Sensitive] [Classified R- UE/EU-R] [Classified C- UE/EU-C] [Classified S- UE/EU-S]	

Estimated budg	Estimated budget — Resources (n/a for prefixed Lump Sum Grants)													
Derticipent		Costs												
Participant	A. Pers	sonnel	B. Subcontrac ting	C.1a Travel		C.1b Accomod ation	C.1c Subsist ence	C.2 Equipment	C.3 Other goods, works and services	D.1 Financia to third p		E. Indirect costs	Total costs	
[name]	X person months	X EUR	X EUR	X travels	X persons travellin g	X EUR	X EUR	X EUR	X EUR	X EUR	X grants	X EUR	X EUR	X EUR
[name]	X person months	X EUR	X EUR	X travels	X persons travellin	X EUR	X EUR	X EUR	X EUR	X EUR	X prizes	X EUR	X EUR	X EUR

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					g									
Total	X person months	X EUR	X EUR	X travels	X persons travellin g	X EUR	X EUR	X EUR	X EUR	X EUR	X grants X prizes	X EUR	X EUR	X EUR <i>J</i>
For certain Lum	o Sum Gran	its, see de	tailed budget t	able/calcul	ator (anne:	x 1 to Part	B; see <u>Porta</u>	l Reference	e Documents).					

## Work Package ...

To insert work packages, copy WP1 as many times as necessary

## Overview of Work Packages (n/a for Lump Sum Grants)

	Staff effort per work package         Fill in the summary on work package information and effort per work package.											
Work Package No												
1												
2												
3	3											
4												

### Call: [insert call identifier] — [insert call name]

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	Total Person- Months
--	-------------------------

Staff effort per participant				
Fill in the effort per work package a Please indicate the number of pers Identify the work-package leader fo	on/months over the v	whole duration of the	•	gure in <b>bold</b> .
Participant	WP1	WP2	WP	Total Person-Months
[name]				
[name]				
Total Person-Months				

## Events meetings and mobility

#### Events meetings and mobility

This table is to be completed for events meetings and mobility that have been mentioned as part of the activities in the work packages above Give more details on the type, location, number of persons attending, etc.

Event No (continuous	Participant			Description			Attendees
numbering linked to WP)		Name	Туре	Area	Location	<b>Duration</b> (days)	Total
E1.1	[name]	[name]	[insert type, e.g. training, workshop, conference, event, etc.]	[insert topics addressed, types of skills/knowledge	[city,country]	[number]	[number]

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				acquired, etc]			
E1.2	[name]	[name]	[insert type, e.g. training, workshop, conference, event, etc.]	[insert topics addressed, types of skills/knowledge acquired, etc]	[city,country]	[number]	[number]

## 4.3 Timetable

#### Timetable (projects up to 2 years)

Fill in cells in beige to show the duration of activities. Repeat lines/columns as necessary.

Note: Use the project month numbers instead of calendar months. Month 1 marks always the start of the project. In the timeline you should indicate the timing of each activity per WP.

ACTIVITY												MO	NTHS	6										
ACTIVITY	M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 10	M 11	M 12	M 13	M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24
Task 1.1																								
Task 1.2																								
Task																								

#### Timetable (projects of more than 2 years)

Fill in cells in beige to show the duration of activities. Repeat lines/columns as necessary.

Note: Use actual, calendar years and quarters. In the timeline you should indicate the timing of each activity per WP. You may add additional columns if your project is longer than 6 years.

		YEA	\R 1			YEA	AR 2			YE	AR 3			YEA	AR 4			YEA	AR 5			YEA	R 6	
ACTIVITY	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4

#### Call: [insert call identifier] — [insert call name]

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Task 1.1												
Task 1.2												
Task												

#### 4.4 Subcontracting

#### Subcontracting

Give details on subcontracted project tasks (if any) and explain the reasons why (as opposed to direct implementation by the Beneficiaries/Affiliated Entities).

Subcontracting — Subcontracting means the implementation of 'action tasks', i.e. specific tasks which are part of the EU grant and are described in Annex 1 of the Grant Agreement.

**Note:** Subcontracting concerns the outsourcing of a part of the project to a party outside the consortium. It is not simply about purchasing goods or services. We normally expect that the participants to have sufficient operational capacity to implement the project activities themselves. Subcontracting should therefore be exceptional.

Include only subcontracts that comply with the rules (i.e. best value for money and no conflict of interest; no subcontracting of project coordination tasks).

Work Package No	Subcontract No (continuous numbering linked to WP)	Subcontract Name (subcontracted action tasks)	Description (including task number and BEN to which it is linked)	Estimated Costs (EUR)	Justification (why is subcontracting necessary?)	Best-Value-for-Money (how do you intend to ensure it?)
	S1.1					
	S1.2					
Other issues:	project goes beyond 309	% of the total eligible	Insert text			
costs, give specific reas						

## 5. OTHER

## 5.1 Ethics

#### **Ethics (if applicable)**

If the Call document/Programme Guide contains a section on ethics, describe ethics issues that may arise during the project implementation and the measures you intend to take to solve/avoid them.

Describe how you will ensure gender mainstreaming and children's rights in the project activities.

Insert text

## 5.2 Security

Security

Not applicable.

## 6. DECLARATIONS

Double funding	
Information concerning other EU grants for this project Please note that there is a strict prohibition of double funding from the EU budget (except under EU Synergies actions).	YES/NO
We confirm that to our best knowledge neither the project as a whole nor any parts of it have benefitted from any other EU grant <i>(including EU funding managed by authorities in EU Member States or other funding bodies, e.g. Erasmus, EU Regional Funds, EU Agricultural Funds, European Investment Bank, etc).</i> If NO, explain and provide details.	
We confirm that to our best knowledge neither the project as a whole nor any parts of it are (nor will be) submitted for any other EU grant <i>(including EU funding managed by authorities in EU Member States or other funding bodies, e.g. Erasmus, EU Regional Funds, EU Agricultural Funds, European Investment Bank, etc).</i> If NO, explain and provide details.	

#### Financial support to third parties (if applicable)

If your project requires a higher maximum amount per third party than the threshold amount set in the Call document/Programme Guide, justify and explain why this is necessary in order to fulfil your project's objectives.

Insert text

#### **ANNEXES**

## LIST OF ANNEXES

Standard Detailed budget table/Calculator (annex 1 to Part B) — mandatory for certain Lump Sum Grants (see Portal Reference

<u>Documents</u>) CVs (annex 2 to Part B) — mandatory, if required in the Call document/Programme Guide Annual activity reports (annex 3 to Part B) — not applicable List of previous projects (annex 4 to Part B) — mandatory, if required in the Call document/Programme Guide

#### Special

Other annexes — mandatory, if required in the Call document/Programme Guide

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## LIST OF PREVIOUS PROJECTS

List of previou Please provide a	<b>is projects</b> list of your previous projects for	the last 4 years.			
Participant	Project Reference No and Title, Funding programme	Period (start and end date)	Role (COO, BEN, AE, OTHER)	Amount (EUR)	Website (if any)
[name]					
[name]					

		HISTORY OF CHANGES
VERSION	PUBLICATION DATE	CHANGE
1.0	25.02.2021	Initial version (new MFF).

SPREA Project	M1 M	42 M3	M4	M5 N	46 M	17 M8	M9	M10	M11 M12	2 M13	M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24
SFREAFTOJECC	\$ <b></b>	-		-			1		-	1	1	1			1	-	-		_		-
WP1 R&D Themes analysis	. <del>6</del>	_	-																		
T1.1 Selection of sources																					
T1.2 Development of sector taxonomies																					
D 1.1 ICT sector Taxonomies			🗳 D 1.	1 ICT sea	tor Tax	konomie:	s														
WP2 Definition of Ideas for International Cooperation		_	-		-	_	_	-													
T2.1 Definition of Working Groups and preliminary R&D themes								1													
D2.1 Working Groups				\$	D2.1 W	Vorking G	Groups														
T2.2 Cooperative Workshops				6	*																
D2.2 a/b/c/d Cooperative Workshop in China/Singapore/Vietnam						<b>₽</b> D2	.2 a/b/c/	Cooper	rative Worl	kshop in	h China/	Singap	ore/Vie	tnam							
T2.3 Complete scenarios definition	_						1														
T2.3.1 Consolidation of workshops' outcomes						*	-														
T2.3.2 Online consultation and refining								*	_	-											
D2.3 List of ideas										₫ D2.	.3 List o	of ideas									
WP3 Development of International Protocols										-	-	-	_	-	_	-	_	_	_	-	
T3.1 Development of Action plans										*	1	-									
D3.1 Action plans															-	D3.1	Action	plans			
T3.2 International cooperation protocols																+	_				
D3.2 International Cooperation Protocols															D3.	2 Intern	ationa	I Coop	eration	n Proto	cols
WP4 Awareness and Impact creation		_	_		-	_	_	-	_	_	-	_	_	_	_	-	_	_	_	_	_
T445 1 1 1 1		_																			
T4.1 Project web portal																					
D4.1 Webportal D4.1 Webportal			6 D4.	Webpor	tal																
			6 D4.	Webpor	tal																
D4.1 Webportal			04.	Webpor	tal																
D4.1 Webportal T4.2 Visual database development			04.	l Webpor	tal																
D4.1 Webportal T4.2 Visual database development T4.3 Project brand and material		-	04.	Webpor	tal									D4.2	! Interr	ational	Confe	erence	s and v	vorksh	ops
D4.1 Webportal T4.2 Visual database development T4.3 Project krand and material T4.4 Awareness Campaign		2	04.	l Webpor	tal									D4.2	? Interr	ational	Confe	rence	s and v	vorksh	ops
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## List of Participating Countries in Horizon Europe

## Third countries associated to Horizon Europe

Association to Horizon Europe is governed by the Horizon Europe Regulation 2021/695<sup>1</sup>. Legal entities from associated countries can participate under equivalent conditions as legal entities from the EU Member States, unless specific limitations or conditions are laid down in the work programme and/or call/topic text. Such measures could include the limitation of participation in certain actions to legal entities established in the EU alone, or in the EU and specified non-EU countries, in order to safeguard the EU's strategic assets, interests, autonomy or security. Limitations or conditions may also be attached to the participation of legal entities established in an eligible country but which are controlled directly or indirectly by an ineligible country<sup>2</sup>. The eligibility will be clearly defined in the work programme. There could also be criteria on the place of establishment of the legal entity to take into account specific policy requirements or the nature and objectives of the action<sup>3</sup>.

All sixteen non-EU countries associated to the previous programme, Horizon 2020, have also expressed interest to become associated to Horizon Europe. Other third countries have also expressed an interest in association.

The association agreements with the following countries have now started to produce legal effects (either through provisional application or their entry into force) (*listed in alphabetical order*):

- 1. Albania
- 2. Armenia
- 3. Bosnia and Herzegovina
- 4. Faroe Islands
- 5. Georgia
- 6. Iceland
- 7. Israel
- 8. Kosovo<sup>4</sup>
- 9. Moldova
- 10. Montenegro
- 11. North Macedonia
- 12. Norway
- 13. Serbia
- 14. Tunisia
- 15. Turkey

<sup>&</sup>lt;sup>1</sup> Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013 (OJ 12.5.2021 L 170/1).

<sup>&</sup>lt;sup>2</sup> Based on Horizon Europe Regulation article 22(5).

<sup>&</sup>lt;sup>3</sup> Based on Horizon Europe Regulation article 22(6).

<sup>&</sup>lt;sup>4</sup> This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

## 16. Ukraine

Until association agreements start producing legal effects either through provisional application or their entry into force, the transitional arrangement set out in the General Annexes to the Horizon Europe Work Programme 2021-2022 is applicable (for the entire Programme, including ERC, EIC, EIT and the institutionalised European partnerships<sup>5</sup>) with regard to the following countries and legal entities established in these countries, with which association negotiations are being processed or where association is imminent (*listed in the alphabetical order*):

- 1. Morocco
- 2. United Kingdom<sup>6</sup>

Legal entities established in Switzerland are currently not covered by the transitional arrangement.

Liechtenstein does not intend to associate to Horizon Europe.

This list will be updated to reflect the status of the negotiations for association.

## Third countries associated to Euratom Research and Training Programme

For the Euratom Research and Training Programme (Council Regulation (Euratom) 2021/765), the association agreement with Ukraine has now started to produce legal effects.

The United Kingdom is expected to become associated. The transitional arrangement described above applies to legal entities established in this country.

## Other third countries

Most Horizon Europe calls are also open to participants from non-associated countries and international organisations, unless specific limitations or conditions are laid down in the work programme and/or the call/topic text, such as those highlighted for associated countries above.

Moreover, some Horizon Europe calls are particularly relevant for international cooperation, encouraging or even requiring the participation of legal entities from non-associated non-EU countries in the funded actions.

Participants from non-associated non-EU countries can take part in Horizon Europe actions — but not always with funding.

Participants from the following low- to middle-income countries are **automatically** eligible for funding:

- Afghanistan, Algeria, Angola, Argentina, Azerbaijan
- Bangladesh, Belarus, Belize, Benin, Bhutan, Bolivia, Botswana, Burkina Faso, Burundi
- Cabo Verde, Cambodia, Cameroon, Central African Republic, Chad, Colombia, Comoros, Congo (Democratic Republic), Congo (Republic), Costa Rica, Côte d'Ivoire, Cuba
- Djibouti, Dominica, Dominican Republic

<sup>&</sup>lt;sup>5</sup> Subject to the adoption of the basic acts.

<sup>&</sup>lt;sup>5</sup> The UK will participate in all parts of the Horizon Europe programme with the only exception of the EIC Fund (which is part of the EIC Accelerator of Horizon Europe that provides investment through equity or other repayable form).

- Ecuador, Egypt (Arab Republic), El Salvador, Equatorial Guinea, Eritrea, Eswatini, Ethiopia
- Fiji
- Gabon, Gambia, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana
- Haiti, Honduras
- Indonesia, Iran (Islamic Republic), Iraq
- Jamaica, Jordan
- Kazakhstan, Kenya, Kiribati, Korea (Democratic People's Republic), Kyrgyz Republic
- Lao (People's Democratic Republic), Lebanon, Lesotho, Liberia, Libya
- Madagascar, Malawi, Malaysia, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Micronesia (Federated States), Mongolia, Morocco, Mozambique, Myanmar
- Namibia, Nepal, Nicaragua, Niger, Nigeria
- Pakistan, Palestine<sup>7</sup>, Papua New Guinea, Paraguay, Peru, Philippines
- Rwanda
- Samoa, São Tomé and Principe, Senegal, Sierra Leone, Solomon Islands, Somalia, South Africa, South Sudan, Sri Lanka, St. Lucia, St. Vincent and the Grenadines, Sudan, Suriname, Syrian Arab Republic
- Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Tunisia, Turkmenistan, Tuvalu
- Uganda, Ukraine, Uzbekistan
- Vanuatu, Venezuela (Bolivarian Republic), Vietnam
- Yemen Republic
- Zambia, Zimbabwe.

Participants from other countries (i.e. countries that are neither EU Member States nor countries associated to Horizon Europe, nor countries listed above) are not automatically eligible for funding and therefore usually have to participate at their own cost. They can however receive **exceptional funding**, if:

- their country is explicitly identified in the work programme and call for proposals as being eligible for funding
- the granting authority considers that their participation as a beneficiary is essential for implementing the project, for example in view of their:
  - outstanding competence/expertise
  - access to particular research infrastructures
  - access to particular geographical environments
  - access to particular data).

In addition, a number of non-EU/non-associated countries that are not automatically eligible for funding have made specific provisions for national co-financing mechanisms for their participants in Horizon Europe projects. For more information, *see Horizon Europe Complementary funding mechanisms in third countries*.

<sup>&</sup>lt;sup>7</sup> This designation is not to be construed as recognition of a State of Palestine and is without prejudice to the individual positions of the Member States on this issue

Please note that entities subject to <u>EU restrictive measures</u> under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU)<sup>8</sup> and entities covered by Commission Guidelines No <u>2013/C 205/05</u><sup>9</sup> are NOT eligible to participate in any capacity (including as beneficiaries, affiliated entities, associated partners, third parties giving in-kind contributions, subcontractors or recipients of financial support to third parties, if any).

HISTORY OF CHANGES			
VERSION	PUBLICATION DATE	CHANGE	
1.0	17.06.2021	Initial version (new MFF).	
1.1	19.07.2021	Updated section 'Other third countries': Added of Mauritius and Panama to list of low to middle income countries.	
1.2	04.10.2021	Updated section 'Third countries associated to Horizon Europe': Moved Iceland and Norway to list of countries with which association agreements have started to produce legal effects.	
1.3	22.11.2021	Updated section 'Third countries associated to Horizon Europe': Moved Moldova and Turkey to list of countries with which association agreements have started to produce legal effects. Specified that list of countries with which association agreements have started to produce legal effects is in alphabetical order.	
1.4	17.12.2021	Updated section 'Third countries associated to Horizon Europe': Moved Georgia, Israel, Montenegro, North Macedonia and Serbia to list of countries with which association agreements have started to produce legal effects.	
1.5	01.02.2022	Updated section 'Third countries associated to Horizon Europe': Moved Bosnia and Herzegovina to list of countries with which association agreements have started to produce legal effects. Updated section 'Other third countries': Added Morocco, Tunisia and Ukraine to list of low to middle income countries.	
2.0	15.04.2022	Updated section 'Third countries associated to Horizon Europe': Moved Armenia and Kosovo to list of countries with which association agreements have started to produce legal effects. Added reference to Guidance document Complementary funding mechanisms in third countries. Created section 'Third countries associated to Euratom Research and Training Programme'	
2.1	01.06.2022	Updated section 'Third countries associated to Horizon Europe': Moved Faroe Islands to list of countries with which association agreements have started to produce legal effects.	

<sup>&</sup>lt;sup>8</sup> Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the EU Sanctions Map.

<sup>&</sup>lt;sup>9</sup> Commission guidelines No 2013/C 205/05 on the eligibility of Israeli entities and their activities in the territories occupied by Israel since June 1967 for grants, prizes and financial instruments funded by the EU from 2014 onwards (OJEU C 205 of 19.07.2013, pp. 9-11).

2.2	01.07.2022	Updated section 'Third countries associated to Horizon Europe': Moved Albania, Tunisia and Ukraine to list of countries with which association agreements have started to produce legal effects. Updated section 'Third countries associated to Euratom Research and Training Programme': Specified that association agreement with Ukraine has started to produce legal effects.
2.3	15.09.2022	Updated section ' Other third countries': Removed Panama from list of low- to middle-income countries

